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OCT 25 2004

PATENT
Docket No. 150.01140101IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Sinha et al.)	Group Art Unit:	1765
)		
Serial No.:	10/028,040)	Examiner:	Duy Deo
Confirmation No.:	2997)		
)		
Filed:	December 21, 2001)		
)		
For:	<u>METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS</u>			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Since this Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, please charge Deposit Account No. 13-4895 the fee of \$180 under 37 C.F.R. §§ 1.97(c) and 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

Supplemental Information Disclosure Statement

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Applicant(s): Sinha et al.

Serial No.: 10/028,040

Confirmation No.: 2997

Filed: December 21, 2001

For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

Respectfully submitted for

Sinha et al.

By

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CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being transmitted by facsimile in accordance with 37 CFR §1.6(d) to the Patent and Trademark Office, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 25th day of October, 2004, at 6:42 PM Central Time.


Name: SAM HER

October 25, 2004
Date

By:


Ann M. Mueting

Reg. No. 33,977

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INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 150.01140101	Serial No.: 10/028,040
	Applicant(s): Sinha et al.	Confirmation No.: 2997
	Application Filing Date: December 21, 2001	Group: 1765
	Information Disclosure Statement mailed: October 25, 2004	

U.S. PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		6,756,308	06/29/04	Small et al.			
		US 2004/0157458	08/12/04	Vaartstra			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description

EXAMINER	Date Considered
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

OCT 25 2004

OMB No. 0651-0011

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 150.01140101	Serial No.: 10/028,040
	Applicant: Sinha et al.	Confirmation No.: 2997
	Application Filing Date: December 21, 2001	Group: 1765
	Supplemental Information Disclosure Statement mailed: June 17, 2003	

U.S. PATENT DOCUMENTS

Examiner Initial	Copies Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	4,747,907	05/31/88	Acocella et al.			
	X	5,527,423	06/18/96	Neville et al.			
	X	5,935,871	08/10/99	Farkas et al.			
	X	5,958,794	09/28/99	Bruxvoort et al.			
	X	6,261,157	07/17/01	Bajaj et al.			
	X	6,306,012	10/23/01	Sabde			
	X	6,436,723	08/20/02	Tomita et al.			
	X	6,454,957	09/24/02	Westmoreland			
	X	6,476,491	11/05/02	Harada et al.			
	X	2002/0017063	02/14/02	Beitel et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Copies Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	WO 01/44396	06/21/01	PCT				

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copies Enclosed	Document Description
	X	Weast et al., "CRC Handbook of Chemistry and Physics," <i>Chemical Rubber Publishing Company</i> , 1989: D151-154.

Exhibit A

EXAMINER	Date Considered
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

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PAGE 28/32 * RCVD AT 10/25/2004 7:43:35 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/1 * DNIS:8729306 * CSID:6123051228 * DURATION (mm:ss):08:32

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INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 150.01140101	Serial No.: 10/028,040
	Applicant(s): Sinha et al.	Confirmation No.: 2997
	Application Filing Date: December 21, 2001	Group: 1765
	Information Disclosure Statement mailed:	March <u>2</u> , 2004

U.S. PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	6,069,080	05/30/00	James et al.			
	X	6,110,830	08/29/00	Skrovan et al.			
	X	6,278,153	08/21/01	Kikuchi et al.			
	X	6,527,818	03/04/03	Hattori et al.			
	X	US 2001/0039766 A1	11/15/01	Hattori et al.			
	X	US 2002/0050322 A1	05/02/02	Kunisawa et al.			
	X	US 2002/0151177 A1	10/17/02	Cherian et al.			
	X	US 2002/0039839 A1	04/04/02	Thomas et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
		None						

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description
		None

EXAMINER	Date Considered
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Exhibit A